



Privacy Notice for Prospects

Deutsche Bank (Suisse) SA (the “Bank”, “we”) recognizes the importance of protecting the personal information which has been transmitted to us. We maintain strict standards of security, measures and procedures which are specially designed to prevent misuse of personal information.

This privacy notice provides an overview of how we as controller collect and process the personal data of natural persons, namely of (i) our prospects (“Prospect”, “you”), (ii) the Prospect’s beneficial owners, (iii) authorized signatories and (iv) persons who may give sureties (e.g. by way of a mortgage, a pledge or security interest on collateral, an assignment or an undertaking) to secure the Prospect’s future payment obligations towards the Bank ((ii)-(iv) “Relevant Third Parties”) to run our business. Further, this notice informs you about your data protection rights.

We kindly ask you to forward this privacy notice to the Relevant Third Parties.

1. Who is responsible for personal data processing and who to contact in this regard?

Controller:

Deutsche Bank (Suisse) SA
Place des Bergues 3
Case Postale
1211 Geneva 1
Tel: +41 44 227 3786
E-mail: dbs.dpo@db.com

Data protection advisor:

Deutsche Bank (Suisse) SA
Data Protection Advisor
Hardstrasse 201, Prime Tower
8005 Zurich
Tel: +41 44 227 3786
E-mail: dbs.dpo@db.com

2. What sources and types of personal data do we use?

2.1 Sources

We collect personal data which we receive from you or from an entity of Deutsche Bank group (if available and permissible) in the context of our discussion regarding a potential future business relationship. In addition, we also process personal data from publicly available sources (e.g., commercial registers and registers of associations, press, media, internet).

2.2 Types of personal data

Relevant personal data collected and processed may be in particular:

- **Identification details:** e.g., name (first name, last name), gender, nationality, date and place of birth, domicile, marital status, identification documents (e.g., national identity card, passport), signature
- **Contact information:** e.g., address, phone number, e-mail address
- **Onboarding/ KYC information:** e.g., education and profession, source of wealth and funds
- **Personal data of the Prospect’s and beneficial owners’ relatives or relevant acquaintances:** e.g., name of spouse, preferences and hobbies
- **Communication-related data:** e.g., written communication, records of phone calls
- **Product and services related data:** e.g., the Prospect’s interest in banking and financial services and products, knowledge and experience in products and services, prospect classifications; creditworthiness and risk ratings
- **Financial data of Prospect and beneficial owner:** e.g., income, wealth, contemplated assets under management, tax-related data, current and past loans, collaterals
- **Special categories of personal data:** e.g., political activities, information on criminal proceedings or sanctions

3. On what legal basis and why (purposes) do we process personal data?

We process your personal data in compliance with the provisions of the Swiss Federal Act on Data Protection (FADP) and to the extent applicable the EU General Data Protection Regulation (GDPR).

- **For the performance of precontractual obligations**



The processing of personal data is carried out in order to take steps prior to entering into a contract (e.g., onboarding procedures and evaluation of suitability appropriateness).

- **For the purposes of our legitimate interests**

To the extent necessary, we process your personal data to safeguard the legitimate interests of the Bank or a third party. Examples:

- Asserting legal claims and mounting a defense in the event of litigation
- Ensuring and enhancing the Bank's IT security and IT operations
- Assure building and system security (e.g., building access control)
- Preventing, detecting and investigating crimes
- Handling complaints or requests from you or Relevant Third Parties
- For risk, credit and compliance management within the Deutsche Bank Group
- For credit check

- **On the basis of your consent**

In some cases, the processing of your personal data may be based on your consent.

- **For compliance with a legal obligation or in the public interest**

As a bank, we are subject to various legal and regulatory obligations (e.g., contract law, supervisory law, tax law, anti-money laundering). This includes, but is not limited to, identity verification, anti-fraud and anti-money laundering measures, the satisfaction of tax law control and reporting obligations.

4. Who receives or can access personal data?

For the purposes mentioned in this privacy notice your personal data is shared with the following recipients:

- **Other entities of the Deutsche Bank Group** (linked below)
- **Service providers** including IT hardware or software providers
- **Domestic and foreign authorities, regulators and institutions:** e.g., courts, Swiss Financial Market Supervisory Authority, foreign supervisory authorities, Federal Tax Administration, foreign tax administrations, Money Laundering Reporting Office Switzerland
- **Other third parties:** e.g., external lawyers, auditors, insurers, brokers, notaries, auction houses

5. Is personal data disclosed abroad?

Personal data is disclosed abroad (i.e., transferred abroad or granting access from abroad). Such data disclosure takes place to countries which provide an adequate level of data protection or in the absence of such legislation based on (i) appropriate safeguards (e.g., standard contractual clauses and transfer impact assessment) or (ii) a statutory exception provision (e.g., explicit consent, conclusion or performance of a contract, safeguarding overriding public interests, enforcement of legal claims).

Your personal data might be processed by other entities of Deutsche Bank Group and other recipients (as listed above) in the countries where Deutsche Bank Group operates ([locations](#)).

6. How long is personal data being stored?

We process and store your personal data as long as it is necessary to fulfil the purpose for which it was collected and to comply with legal, regulatory or internal policy requirements. In general, the Bank retains your personal data for ten years.

Further, we may preserve relevant information beyond the statutory retention period if it is in the Bank's legitimate interest (e.g., when litigation is ongoing or reasonably anticipated).

7. Is "profiling" used?

In some cases, we process your personal data automatically with the aim of evaluating certain personal aspects (profiling). For instance, we use profiling in the following cases:

- We are required by law to take anti-money laundering and anti-fraud measures. Data evaluations are



also carried out (in payment transactions, among other things) in this context. These measures also serve to protect you.

- In order to provide information on potential suitable products, we use evaluation tools. These enable demand-oriented communication and advertising, including market and opinion research.

8. To what extent is automated decision-making carried out?

We do not make any decisions based solely on automated processing that produce legal effects concerning you or significantly affect you. Should we nevertheless use such procedures in individual cases, we will inform you separately.

9. What are your data protection rights?

You have the following rights:

- **Access right** (meaning the right to ask about and obtain your personal data processed by the Bank)
- **Right to data portability**
- **Right to rectification**
- **Right to erasure/ destruction**
- **Right to object processing**
- **Right to prohibit processing/ disclosure**
- **Right to withdraw your consent**

The rights are not unlimited, legal limitations may apply. The Bank will examine each case individually and inform you about the result.

Consent can be withdrawn where the data processing is based on your consent. Such a withdrawal only has effect for the future, processing prior to the withdrawal is not affected.

We kindly ask you to send your request in writing together with a copy of a valid official identity document to our data protection advisor.

10. EU representative for the Bank

To the extent the GDPR applies the Bank designates the below Deutsche Bank entity as the European Union representative in compliance with Art. 27 GDPR:

Deutsche Bank AG
Data Protection Officer
Tausananlage 12
D-60325 Frankfurt am Main
Tel: + 49 (69) 910-10000
E-mail: datenschutz.db@db.com

11. Changes to your personal data

We are committed to keeping your data accurate and up to date. Therefore, if your data changes, please inform us as soon as possible.

12. Language discrepancies / original version in English

This privacy notice is published in five different languages, namely in English, French, German, Italian and Spanish. In case of discrepancies between these different languages in the Bank's documents, the English version shall prevail.

13. Updates to the Privacy Notice

This privacy notice was updated with effect for March 2026. We reserve the right to amend it from time to time. Any amendment or update to the privacy notice we will make available to you here:



<https://country.db.com/switzerland/company/regulatory-information/data-protection-information>. Please visit the Bank's website to understand the current notice.